## BEFORE THE PUBLIC SERVICE COMMISSION OF UTAH

In the Matter of: The Application of Rocky Mountain Power to Increase Rates by \$29.3 Million or 1.7 Percent Through the Energy Balancing Account.	) Docket No: ) 12-035-67 )
In the Matter of: The Application of Rocky Mountain Power for Authority to Revise Rates in Tariff Schedule 98, Renewable Energy Credits Balancing Account, by Crediting Revenues of Approximately \$4.0 Million.	<pre>Docket No: 12-035-68 ) ) )</pre>

## TRANSCRIPT OF HEARING PROCEEDINGS

TAKEN AT:

Public Service Commission 160 East 300 South Salt Lake City, Utah

DATE: May 14, 2012

9:04 a.m. TIME:

Kelly L. Wilburn, CSR, RPR REPORTED BY:

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MAY 14, 2012 9:04 A.M.

PROCEEDINGS

HEARING OFFICER: Good morning. My name is David Clark. The Commission has designated me as the presiding officer for the two matters scheduled for hearing this morning.

The first is Docket No. 12-035-67, which is captioned: In the Matter of the Application of Rocky Mountain Power to Increase Rates by \$29.3 million, or 1.7 percent through the energy balancing account.

The second matter, which was duly noticed for hearing immediately following the hearing in the 12-035-67 matter is Docket No. 12-035-68, captioned: In the Matter of the Application of Rocky Mountain Power for Authority to Revise Rates in Tariff Schedule 98, Renewable Energy Credits Balancing Account, By Crediting Revenues of Approximately \$4 Million.

Let's begin by taking the appearances of the parties and counsel here. Ms. Hogle?

MS. HOGLE: Good morning Mr. Hearing Officer and parties. Yvonne Hogle on behalf of Rocky Mountain Power. With me today are Mr. Brian Dickman, Mr. Steve McDougal, and Mr. Bill Griffith, who will be providing a short summary of their testimony in support of the

1	application. Thank you.
2	HEARING OFFICER: Thank you. Ms. Schmid?
3	MS. SCHMID: Patricia Schmid, with the
4	Attorney General's Office, for the Division of Public
5	Utilities. And with me is Mr. Wes Felix. We have
6	Mr. Matthew Croft as our witness today.
7	HEARING OFFICER: Thank you.
8	MR. GIMBLE: Yeah, I'm Dan Gimble. I'll be
9	the witness for the office. The Office does not have
10	counsel today.
11	HEARING OFFICER: Thank you Mr. Gimble.
12	MR. EVANS: I'm William Evans for the Utah
13	Industrial Energy Consumers. And I'll be appearing in
14	the first docket, 12-035-67. My partner, Vicki
15	Baldwin, will enter appearance in the second docket
16	today.
17	HEARING OFFICER: Thank you.
18	MR. DODGE: Gary Dodge on behalf of UAE.
19	HEARING OFFICER: Thank you.
20	MS. SCHMID: Pardon me, if I may? Brenda
21	Croft will be our witness in the second docket.
22	Brenda Salter. Oh dear, Brenda Salter.
23	HEARING OFFICER: Thank you. I'd like to
24	begin this morning by hearing the parties' positions
25	on the comments filed by UIEC, which in effect, at

1 least as to some of the issues in the EBA docket and all of the issues in the RBA docket, would cause us 2 3 not to go forward today. 4 So Ms. Hogle, would you like to address that? 5 MS. HOGLE: Yes, thank you Mr. Hearing 6 Officer. On behalf of Rocky Mountain Power we would 7 like to thank the Commission for the opportunity to 8 present to you the Company's application and supporting testimony for approval of a surcharge to 9 10 the Company's customers of approximately \$28.9 million 11 beginning June 1, 2012. 12 Rather than going into all of the history of 13 the EBA, the Company requests that you take official 14 notice of Docket Nos. 09-035-15, which is the EBA 15 docket, 10-035-124, the 2011 general rate case, and 16 11-035-T10, the EBA tariff compliance docket. 17 HEARING OFFICER: Any objection? 18 MS. HOGLE: The EBA -- the purpose of the EBA 19 is to track the difference between base net power 20 costs, or energy balancing account costs plus wheeling 21 revenues, and actual energy balancing costs, and charge or credit 70 percent of the difference of that 22 23 to our Utah customers on an annual basis. 24 At issue in this case is the \$8.9 million of

EBAC costs the Company seeks to collect through an

interim surcharge. No party has an issue with the recovery of the \$20 million installment agreed to as part of the stipulation in the Utah general rate case in 2011. The Commission should approve the recovery of the \$20 million on a final basis, as that was the final amount.

With respect to the proposed effective date, the effective date is something that could have been brought up in the EBA docket, in the Utah general rate case, and the recently-concluded EBA tariff compliance docket, because it was discussed in all three of those dockets.

The scope of the EBA tariff compliance docket in fact was to determine if the Company's Tariff Schedule 94 was consistent with prior Commission orders and Utah laws that are relevant to the implementation of the EBA.

Recently the Commission issued its order in the tariff compliance docket ordering the Company to make a few modifications to Tariff Schedule 94 prior to the proposed effective date of June 1, 2012. Which date, by the way, was prominently displayed on each page of Tariff Schedule 94.

No party raised an issue with that date in that docket, so not surprisingly the effective date

was not one of the modifications that the Commission ordered the Company to make.

It is inappropriate for UIEC to, at this 11th hour, try to stop the implementation of the EBA by raising an issue that should have been brought up several dockets ago. And which UIEC had every opportunity to do so.

With respect to the interim rate, to justify an interim rate of \$8.9 million the Company must provide *prima facie* evidence that it is just and reasonable. As noted by all parties, including UIEC, the Company's calculations are consistent with the methodologies and formulas in Tariff Schedule 94. So mathematically, the amount is correct.

With a few modifications noted in the Commission order recently issued in the EBA tariff compliance docket, Schedule 94 is consistent with applicable Commission orders and Utah law.

The Commission has already found that the EBA is in the public interest. It found that the EBA should be processed in two phases: An interim and a final phase. So an application for interim approval such as the one before you that contains -- or conforms to the process and contains the appropriate costs and revenues described in the Company's tariff

will result in just and reasonable rates.

Because the request for the \$8.9 million is for interim approval, all parties will have an opportunity to continue to review and evaluate it before final rates go into effect. Parties will also have every opportunity to determine if the energy balancing account -- energy balance account costs of \$8.9 million were prudently incurred.

So based on the Division's recommendation for interim approval of the Company's request for recovery with minor modifications to comply with the Commission's order in the EBA tariff compliance docket, and given that no, no party has brought forth any evidence demonstrating that the Company has not met its burden that its request is not just and reasonable, the Company respectfully requests that the Commission approve a surcharge of \$28.9 million beginning June 1, 2012, \$8.9 million of which would be on an interim basis.

The Company has three witnesses here today who would provide short summaries in support of the Company's application. Mr. Dickman will address -- Mr. Brian Dickman will address the calculation of the EBA deferral amount.

Mr. Steve McDougal will address the

1 allocation of EBA costs to Utah as part of the EBA 2 deferral filing. And finally, Mr. Bill Griffith will 3 address the Company's proposed revenue spread and EBA 4 rates. Thank you. 5 HEARING OFFICER: Thank you Ms. Hogle. Ms. Schmid, do you have any comments? 6 7 MS. SCHMID: I do. UIEC fails to raise 8 effective arguments to prevent us from going forward 9 today. UIEC's arguments are inconsistent with the 10 proceedings in the EBA docket, and are inconsistent 11 with the nature of an interim rate increase. 12 The interim rate increase requires only a 13 prima facie showing. And, as Ms. Hogle said, UIEC 14 presents no evidence on the record to counter what is 15 So it will be the Commission's duty to 16 determine what evidence is on the record, and if that 17

provides sufficient evidence to make a prima facie case for approval of the interim rates.

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Also, UIEC's comments ignore the fact that this is a, a pilot program and will be going forward in different forms. UIEC's comments also ignore the fact that they have had ample opportunity to raise these issues in other dockets.

Thus, UIEC raises nothing to prevent the Commission from going forward and rendering a decision

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    on the matter set forth in this docket.
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             HEARING OFFICER:
                                Thank you. Mr. Gimble?
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              MR. GIMBLE: The Office has no comment at
    this time.
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 5
             HEARING OFFICER: Okay, thank you.
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             Mr. Dodge?
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              MR. DODGE: No, I have no comments.
                                                   Thank
8
    you.
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             HEARING OFFICER: Mr. Evans?
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              MR. EVANS: Thank you. We've set out our
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    position in our comments, and so assuming that
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    everyone's read those I won't go back over that and
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    state what our case is. But let me respond briefly to
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    what's been said this morning.
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             This is the first opportunity that we've had
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    to raise this issue with respect to the requested
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    9.3 million. I guess it's down to 8.9 million today.
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    We didn't raise it in the tariff proceeding because
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    that has -- the T10 docket, that is, because we have
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    agreed and stipulated to the recovery of $20 million,
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    which must be recovered under the tariff.
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              That procedure had to run its course.
                                                     The
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    tariff's in place. We don't have any objection to the
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    Company beginning to collect its $20 million, as of
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    June 1, under the tariff.
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As for the EBA docket itself, the 15 docket, this was never raised. We kept being told, Oh, we don't have a procedure. We don't know how this will work. This is a pilot program. The procedure will be decided when we get to the point where the Company has applied for recovery of EBA costs. Actual costs from this period at the end of 2011.

This is not the Federal Rules of Civil
Procedure. There's no compulsory counterclaim here.
And we're not required to oppose the application
before the Commission set a time for us to file our
comments. We don't believe that we've waived any
argument, nor do we believe that it's late in coming.

We didn't have the \$9.3 million number until March 15. We didn't have the Division's report until much later. We're entitled to rely on the Division to do its job and ferret out the problems with the application. Which it did a good job in discovering that there were still estimates in that number. That there might have been problems with out-of-period adjustments.

And in res -- unfortunately, they didn't raise the legal issue that needed to be raised and that we have now raised our comments. So I don't think that, that our raising the issue at this point

is untimely. In fact, I think we've raised it just when it should be raised.

Ms. Schmid is right that there must be a prima facie showing of -- that the rates are just and reasonable and prudently incurred, which there has not been in this case.

Not only is -- has the Division's application been challenged as to the numbers that it put out, but there is -- and has been in the last few years -- serious questions about swap losses, to the tune of many hundreds of millions of dollars, as a result of prudence that has had no examination. We've not looked at it.

And I don't see how any party can assert that the Company has made a *prima facie* showing that its power costs that it has in this 8.9 million are prudently incurred. There's nothing in the record to suggest that.

The Commission -- just because these rates are interim doesn't mean that they can be put in place without a finding that they're just and reasonable.

Just and reasonable under the EBA statute, and all of the other Commission statutes, require a finding that the costs were prudently incurred.

That is gonna take some time. This is not

Questar. It's not a 191 account or a Questar Gas purchase balancing account. It is far more complicated than that. We're talking some time it will take before anyone has a handle on what the costs really should be or whether they were prudently incurred.

We don't have a -- but especially for the pilot program we should not be rushed into a June 1 date. Now, let me also say this. Whenever the June 1 date was raised it was raised in connection with recovery of the stipulated \$20 million. And we do not have a problem with that.

But we do have a problem rushing the rest of this to a rate increase before it has had a look. We're here today with Company witnesses and one -- and maybe a witness from the Division, but no opportunity for parties who oppose the application for the 8.9 million to put in testimony.

And we don't think it's possible for the Commission to make a finding that it's just and reasonable to put that amount in rates at this time. We think that, because it's a pilot program, we should take our time. Take a look at it. Vet the issues of prudence and power costs. And get to a decision sometime down the road.

1 Let me say this as well. These same issues 2 will be looked at thoroughly in the upcoming rate 3 case. And the parties can use that rate case to 4 inform themselves about what needs to be looked at, 5 how it should be looked at. How we can best 6 coordinate the EBA with base rates so that we don't 7 start off down the wrong path on these EBA cost 8 recovery proceedings. 9 If it takes a year, that's fine. They've got 10 the \$20 million rate increase between now and then. 11 Let's let the, let's let the process really run its 12 course and make sure that we've got just and 13 reasonable rates in place before they start recovery 14 of the actual power costs from the fourth quarter 15 2011. 16 HEARING OFFICER: Thank you Mr. Evans. As 17 you were speaking you referred to the legal issue. 18 Would you be more precise about, if you can recall the 19 context of your comments, the legal issue that I 20 believe you said the Division didn't raise and you 21 raised in your comments. Would you --22 MR. EVANS: The legal --23 HEARING OFFICER: -- define that as you 24 understand it? 25 MR. EVANS: Yes. The EBA statute allows for

recovery of actual prudently-incurred fuel and purchase power costs. Without a finding that they're actual and prudently incurred, there can't -- they can't be put into rates.

And not only do we know today, sitting here, that we're not looking at actual costs -- the Company and the Division both said they're estimated costs in here -- to what extent we do not know. We cannot put estimated costs into rates.

Second, they must not only be actual but they must be prudently incurred. There's been no finding of prudence. There's been no *prima facie* case put forth on prudence. And it is -- the legal issues are that the Commission must get to that decision.

Here's the final legal issue, is that you can't get to that decision by just hearing testimony from the advocate of that position. The Company. You must hear evidence from parties who oppose it. And there has been no process put in place for the Commission to hear that evidence.

Moreover, it wouldn't be appropriate to require the parties to file their testimony and, and submit that kind of evidence until there's been a chance to do some discovery and to do the audit that looks at whether these costs were prudently incurred.

I just don't -- to put in -- to put that kind of a -- to allow the actual power costs, whatever they may be, from the 11th quar -- from the fourth quarter 2011 to go into rates now I think is to ignore due process, to ignore what the EBA statute says, and to ignore -- the Commission's primary job is to make sure that rates are just and reasonable. It can't be done based on this record.

HEARING OFFICER: Are you aware of how the schedule and process that we're operating under was determined? In other words, the dates and the -- and guidance in this -- in the Commission's March 30th scheduling order.

MR. EVANS: I understand the June 1 date came out of the stipulation. That's been the target date since back in July of 2011. But that was for the \$20 million.

Then we did an application for an additional 9.3. And the process -- and when we sat in that scheduling conference it was unclear how the procedure was gonna go and what this hearing was gonna be about.

I am aware that the process -- this hearing today -- the 20 million can't go into rates until there's a hearing. That hearing scheduled back then was for the 20 million, in my view. I thought there

1 was gonna be some recommendation from the Division 2 about how to set up the schedule to look at the 3 \$9.3 million. 4 There was noth -- there's been nothing that I 5 know of, except for the Company's application, where 6 there was any understanding that that \$9.3 million 7 should go into rates on June 1. 8 HEARING OFFICER: Thank you. Any comments from any other parties? 9 10 MS. HOGLE: Mr. Clark, may I -- may the 11 Company have an opportunity to respond to that? HEARING OFFICER: 12 Sure. 13 MS. HOGLE: Thank you. First of all, in 14 Docket 11-035-T10, which is the tariff compliance 15 docket, where the Commission was, was noting the 16 parties' positions as to the 8.9, or 9.3 million 17 dollar surcharge at the time, the Commission itself 18 noted that the surcharge would become effective on an 19 interim basis June 1, 2012. 20 If you look at page 11, at the bottom there 21 it says, and I'll read for the record: 22 "Under these circumstances we 23 conclude just and reasonable rates are 24 best achieved through spreading the 25 June 1, 2012, EBA surcharge using the

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           percentages the settling parties chose
 2
           to apply and spreading the revenue
 3
           requirement increase in Docket
           No. 10-035-124 to each retail customer
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 5
           class."
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              And this was not a discussion with respect to
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    the $20 million, it was a discussion with respect to
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    the 8 point -- excuse me, the $9.3 million originally.
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              Secondly --
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              HEARING OFFICER: Before you leave that,
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    Ms. Hogle, would -- just so we have it clear in the
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    record, what's -- that's a Commission order that
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    you're referring to. And --
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              MS. HOGLE: It's --
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              MR. EVANS: -- its date?
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              MS. HOGLE: The date on that is May 1, 1212.
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    And it's Docket No. 11-035-T10. Bottom of page 11.
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              HEARING OFFICER: Uh-huh.
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              MR. EVANS: What? It's an order? It's the
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    Commission's order?
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              MS. HOGLE: It's an order.
              MR. EVANS: Okay, thank you.
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              MS. HOGLE: Yes. Second, the interim phase
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    is not the phase where a prudence review would occur.
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    The Commission, as I stated before, the Commission set
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1 forth the two-step process. There is no deadline with 2 respect to the finality of the rates and when that 3 should occur in this case. 4 Parties will have a lot of opportunity to 5 look at the prudence of the energy balancing account 6 costs, including with respect to the swap losses. 7 any argument that any party will be deprived of due 8 process fails under those circumstances because they 9 will have due process. They will have that opportunity. And, and 10 11 like I said before, there is no deadline. 12 HEARING OFFICER: Ms. Hogle, is it your view 13 that that includes, then, transactions in the 14 October 1 to December 31, 2011, period? 15 MS. HOGLE: The -- during the deferral 16 period, yes. So anything -- any costs that were 17 incurred from October 2011 through December 2011 are, 18 are appropriate to be evaluated and reviewed. 19 And then one last thing, the interim rates 20 that will take effect are subject to refund. And so I 21 think there is a protection for customers as well 22 based on that. 23 HEARING OFFICER: Thank you. 24 MS. SCHMID: If I may? 25 HEARING OFFICER: Ms. Schmid, yes.

MS. SCHMID: Thank you. UIEC raises an issue, but further examination of the issue shows that there is no harm -- that, that putting rates into place on an interim basis here is consistent with the statutes set forth applicable to the Public Service Commission.

The Public Service Commission statutes contemplate interim rates, as well as provide methods for establishing interim rates, and the standard for final rates. The interim rates standard is set forth at 54-7-12, Section (4.) That standard is a *prima facie* standard.

To establish what goes into final rates there will be a second step in this docket. The Division's report is a Phase I. And it will be followed by a Phase II, or a second report, which will occur after the Division has had a longer period of time in which to examine the evidence to determine whether or not the final rates that would result would be just and reasonable.

By establishing rates that are interim, the Commission allows things to go forward but protects against harm. There is further opportunity for comment by the parties after the Division's report, albeit the period is short.

So perhaps the remedy, instead of stopping the process now, would be to allow interim rates to go into effect if the interim rates standard is proven by the parties, and then to establish a longer comment period, if needed, after the Division's second report.

I think that the process established is good. I think that there was an opportunity to challenge the process that could have been made. Although I, I will acknowledge that the time to request rehearing on the May 1st order in the T1 docket -- T10 docket has not passed.

The EBA dockets are extremely complex and intertwined. I think that by applying the interim rate standard to the evidence presented today, the Commission has the opportunity to follow through with the desired process on the EBA pilot program. Thank you.

HEARING OFFICER: Thank you. Mr. Evans?

MR. EVANS: Thank you. I've just been handed a copy of the order in the T10 case, that May 1 order, and I'm looking at the bottom of page 11. I see the reference to the spread. The only reference I see to, to an increase is the reference to the stipulation made in Docket 10-035-124.

We did not -- I don't believe that the

Commission here was addressing the \$9.3 million rate increase. So I don't think that the, the order on the tariff cuts one way or the other on whether the Commission -- on what the Commission should do with this \$9.3 million request.

Second, interim, interim rates are interim because they are changes in the rates that occur between rate cases. They were originally put in place so that the Company -- in a time of steep inflation, so that during the 240-day rate case period the Utility wouldn't suffer losses simply due to inflation during the time between the filing of the application and the time that rates go into effect.

Originally there was a showing -- an interim rate required a showing of financial harm. Not only financial, but serious financial harm to the Utility before the Commission would order interim rates. That eventually got relaxed in rate cases.

But the statute that Ms. Schmid references is a rate case statute. And we agree that it does state the principle that there must be a *prima facie* showing that the rate is just and reasonable before it goes into effect. Our point here, of course, is there's been no such showing.

Second, even though the rate is interim, it

doesn't mean that there's not harm if it goes into effect before it's determined to be just and reasonable. Yes, it's subject to refund, but refund cannot make customers whole.

And that has been recognized by the Commission since the very first time it ordered an interim rate. There's a problem with taking the customers' money first and then determining whether the rate is just and reasonable. And we've cited in our brief examples of why that is.

The other one that we didn't cite is that you can't make sure that -- no one can be sure that the refund ever goes back to the customers who paid the overcharges. And certainly cannot guarantee that it goes to those customers in proportion to the amount that they paid. It just is not a suitable remedy, and it's not correct to say there is no harm.

The Company's -- the Company's getting 6 percent on this. This is subject to 6 percent interest. The harm is foreclosed by the interest rate by letting them collect -- defer this amount and collect 6 percent interest on it before they amortize it. Before they collect it in rates.

We're -- the potential for harm by delaying the implementation of that \$9.3 million in rates is

1 covered by the carrying charges. To say that they 2 need to recover it now to avoid harm is not only not 3 true, but it is a less-efficient way to avoid the harm 4 to make them pay now subject to refund. 5 So I think that, I think that if we're 6 looking at the balance of harm, there's far less harm 7 done by delaying the implementation of this rate 8 increase, rather than putting it in place now and then 9 trying to determine whether it's just and reasonable 10 and do a refund in a way that customers won't be hurt. 11 HEARING OFFICER: Thank you. 12 I want you to know, I intend to take a recess 13 shortly. But before I do I'd like counsel to address 14 whether or not any of the arguments that you've made 15 are different in the context of the RBA. 16 We've been addressing principally the EBA in, 17 in the arguments. At least the specific references 18 have been to the energy balancing account as opposed 19 to the renewable energy credit balancing account. 20 MS. BALDWIN: Mr. Hearing Officer? 21 HEARING OFFICER: Are the arguments 22 different, or are there additional arguments in that 23 setting? And let me --24 MS. BALDWIN: If I may, we withdraw our due 25 process claim in the RBA case. We recognize that that

1 is not a rate increase, instead it's a self-expiring 2 liquidating credit; therefore, we withdraw that due 3 process claim in that case. HEARING OFFICER: Just to help me be clear, 4 5 Ms. Baldwin. I'm looking, for example, on page 2 of 6 your comments -- or 2 of your filing. And you 7 address -- or perhaps best to say that the -- I'm 8 looking at the last sentence in the paragraph that 9 begins on page 1 and continues onto page 2, where you 10 reference a direct violation of UIEC's procedural and 11 substantive due process rights. 12 MS. BALDWIN: Yes, and I would like to 13 withdraw that statement. 14 HEARING OFFICER: Okay. And so concerns 15 about the use of an interim rate approach are 16 withdrawn as well? 17 MS. BALDWIN: We do have concern with the 18 approach; however, we understand that there is no 19 legal basis for a due process claim. And that there 20 is a self-expiration of this credit, and there will be 21 a credit going forward. And those two exist. 22 And this is not a rate adjustment, actually, even though it appears to be in effect, and therefore 23 24 we do withdraw any complaint about the process in the 25 RBA only.

1 However, our recommendation does remain the 2 As you will see, our recommendation was not to 3 delay. Our recommendation was to go forward with the, the credit and still have the Commission order the 4 5 Division to conduct certain hearing -- certain 6 findings. 7 HEARING OFFICER: I wonder if I -- would you 8 mind if I -- if you just summarize for me then what 9 remains? I want to make sure I understand where UIEC 10 is exactly, and what we've left behind, what still is 11 on the table. Can you please? 12 MS. BALDWIN: Okay. What's on the table is 13 that we think that the assets are being wasted. And 14 we still urge the Commission to go forward with 15 investigating -- ordering the Division to investigate 16 whether the Company was imprudent in its management of 17 the RECs. 18

If so, what portion of banked RECs should have been sold at a higher price. What value should have been imputed to those RECs. And whether a carrying charge should start accruing on those RECs. That investigation we think should go forward, but we have no qualms with the temporary rate going into effect.

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HEARING OFFICER: So the investigation you're

1 describing would take place during the pendency of the 2 interim rate? 3 MS. BALDWIN: Yes. And it's our understanding that all parties would be allowed to 4 5 participate in that investigation. 6 HEARING OFFICER: Thank you. Any questions 7 or comments based on that clarifying statement from Ms. Baldwin? 8 9 MS. HOGLE: Just one clarification, 10 Mr. Clark. Is the Company gonna have an opportunity 11 to respond to that as a -- in a separate proceeding, 12 or do you envision ruling from the bench, or -- I 13 mean, it almost seems to me like this is a combined 14 process. Is that what is transpiring? 15 HEARING OFFICER: Well -- thank you. 16 proceedings aren't consolidated, but I'm trying to be 17 efficient. I want to take a recess, confer with the 18 Commissioners. And I thought it would be most 19 efficient if I understood all of the positions on the 20 issues that would prevent us, in the minds of UIEC at 21 least, prevent us from going forward today. 22 So that -- so I am interested in hearing any, 23 any positions of parties on that set of issues or 24 questions. With -- and, and so I'd like to know what 25 your response is to UIEC's change in position and, and

1 any, any other comment that you would have at this 2 time, so. 3 MS. HOGLE: So, yes. With respect to the interim rates, the issue with the interim rates that 4 5 UIEC just withdrew, the Company would just like to 6 make it clear that when it filed its application it 7 did not seek interim approval of the credit in the 8 case. 9 If you recall, Mr. Hearing Officer, at the 10 scheduling conference parties, including UIEC, 11 insisted that the Company agree to an interim rate 12 process. The Company agreed to that. And so for UIEC 13 to have made that argument that the Commission doesn't 14 have authority to approve interim rate case -- an 15 interim rate increase is, is contradictory. 16 So basically what it -- what happened is 17 that, you know, it was a bait and switch. And I want 18 that to be on the record. MS. BALDWIN: Mr. Clark, I would like to 19 20 repeat that I did withdraw the argument, so I'm not 21 sure -- I did withdraw the argument -- I did withdraw 22 the claim, and therefore I'm not sure why we're going 23 forward with argument against my now-withdraw claim. 24 HEARING OFFICER: Thank you. I have another 25 set of questions for you. And again, this addresses

1 both dockets, I hope this isn't too cumbersome for the 2 parties. But what is your sense of what would remain 3 of substantive issues relating to the RBA? 4 What issues would we hear today, in light of 5 the change in position from UIEC? Are you able to 6 articulate for me? 7 MS. HOGLE: Can I respond to that? HEARING OFFICER: 8 Uh-huh. 9 MS. HOGLE: In particular we'd like to 10 respond to the UIEC's continued recommendation that 11 the Division invest -- or that the Commission order 12 the Division to investigate REC sales on a historical 13 basis to possibly impute a higher value to those REC 14 sales. 15 HEARING OFFICER: Would you be offering 16 testimony on that issue, or argument? 17 It would be argument, your Honor. MS. HOGLE: 18 HEARING OFFICER: Okay. 19 MS. HOGLE: Pursuant to the global settlement 20 agreement in the 2011 general rate case, parties 21 agreed that the RBA include a credit balance of 22 \$39.5 million, representing REC revenues received by 23 the Company from February -- approximately February 2010 through December 2010, which the Company 24 25 agreed to pass on to its customers.

1 As part of a prior settlement in 2 Case 10-035-89 the Company also agreed to pass on to 3 its customers a \$3 million monthly credit beginning in January 2011. As a result of these settlements, REC 4 5 revenues of a total of approximately \$73 million, and 6 \$42 million on a Utah basis, generated by the Company 7 during 2011 is being passed on to the Company's Utah 8 customers. 9 In exchange for that parties agreed, pursuant 10 to those settlements, to assert no future claims with regard to the amount of REC revenues that were to be 11 12 passed on to customers from REC sales for the period

And for further background on this, the Company would request that the Commission take official notice of Docket Nos. 10-035-124, 11-035-46, 10-035-14, and 10-035-89. So the recommendation --

beginning January 2009 through December 31, 2010.

HEARING OFFICER: Let me just, is there any objection to that?

Notice is taken as requested.

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MS. HOGLE: Thank you. The recommendation that the Division -- or that the Commission order the Division to go back and investigate whether any REC sales during that time period should be imputed, or additional value of those REC sales should be imputed

1 to the Company, would be in direct violation of the 2 global settlement agreement. Thank you. 3 HEARING OFFICER: Any other party like to address that issue before we leave it? 4 5 MS. BALDWIN: Yes. I would like to say that 6 I don't believe that the global settlement does -- it, 7 it settles those issues that were raised. We never 8 raised this issue. We asked for, we asked for certain 9 things to be granted in -- and that was to have the 10 revenues for sold RECs in -- past 2009 and up through 11 February of 2010 to be addressed. 12 And we agreed in that settlement to withdraw 13 those, those claims and not to raise them again. And 14 we are not raising those now. What we're raising is 15 that there are, there are assets out there that have 16 not been valued, they've never been given a value, and 17 they are being wasted by the Company. 18 And that's our claim that we would like to 19 have investigated, which is totally separate from 20 anything that was in the global settlement. 21 HEARING OFFICER: Thank you. 22 MR. FELIX: I've got just two brief comments if you will, your Honor. First just a, I guess a 23 24 cautionary note. Just it's the Division's view that

it's always difficult and fraught with negative

1 possibilities when we would be enjoined to -- if we 2 were enjoined to go back, with the advantage of 3 hindsight, and attempt to supplant the business 4 judgment of the Company with respect to its use of the 5 RECs and the timing of their, of their sales. And 6 supplant our own -- and replace that with our own 7 judgment. 8 That's a, that's a difficult and maybe 9 improper kind of analysis. But if we were instructed to do that sort of analysis the Division would hope 10 11 that this would just be an independent investigation 12 by the Division. I guess not a technical group or 13 something broader. 14 HEARING OFFICER: Thank you. Any other 15 comments? 16 MS. MURRAY: Your Honor, there was one 17 additional issue that the Office raised, and it was 18 the billing determinants that would be used in the 19 RBA. 20 HEARING OFFICER: Right. 21 MS. MURRAY: We think that we explained our 22 position in the memo and don't need -- know that there 23 are further comments necessary. 24 HEARING OFFICER: So if we were to receive 25 those -- that memorandum into evidence, that would

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1
    satisfy at least your desire for a showing?
 2
             MS. MURRAY:
                           It would, yes.
 3
             HEARING OFFICER: And how do the other
    parties feel about that process? Would -- is there a
4
 5
    desire to cross-examine, Ms. Hogle?
              MS. HOGLE: The Company would like to have
6
7
    the opportunity to respond to that.
8
             HEARING OFFICER:
                                Through a witness, or?
9
             MS. HOGLE: Through a witness.
10
             HEARING OFFICER:
                                Okay.
             MS. HOGLE: Thank you.
11
12
             MS. SCHMID: And just to make it clear, the
13
    Division intends to present witnesses adopting both
14
    the comments in the RBA docket and in the EBA docket.
15
    And those witnesses will be available for cross
16
    examination and questions from you, your Honor.
17
              HEARING OFFICER:
                                Thank you. Anything more
18
    before we recess?
19
              Then we will be in recess.
20
          (A recess was taken from 9:52 to 10:33 a.m.)
21
              HEARING OFFICER: Earlier on this record we
22
    addressed issues related to both Dockets 12-035-67 and
    12-035-68 as they pertained to the scope of the
23
    hearings today. We're now focussing again just on
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    Docket No. 12-035-67 at this moment. We'll get to
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     12-035-68 when we conclude here.
 2
              But just so we're all on the same page, we
 3
     are addressing the $29.3 million, or 1.7 percent
 4
    energy balancing account increase proposed in
 5
    Docket No. 12-035-67.
              And having conferred with the Commissioners I
 6
 7
    need to inform you that in this hearing today we are
 8
    only going to consider the deferred net power costs,
 9
    which total about $20 million, that are -- that
10
     actually total exactly $20 million, that are raised in
11
     this application. Except that later we'll establish a
12
     schedule related to the residual amount of
13
     approximately $9 million.
14
              So unless there are questions about that
15
    we'll begin with the Company's case on the deferred
16
    net power costs. And so Ms. Hogle, would you like to
17
    proceed, please?
18
              MS. SCHMID: Pardon me.
              HEARING OFFICER:
19
                                0h.
20
              MS. SCHMID: I do have a question.
                                                  Could we
21
    go off the record for just one moment? I apologize.
22
              MR. EVANS: Sure.
23
            (A discussion was held off the record.)
24
              HEARING OFFICER: We are to the point in this
25
    process of addressing the $20 million deferred net
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1	power cost issue. And Ms. Hogle, would you like to
2	proceed?
3	MS. HOGLE: Certainly. The Company would
4	call Mr. Bill Griffith to address the Company's
5	proposed REC revenue spread and REC rates with respect
6	to the energy balancing account costs in the amount of
7	\$20 million.
8	HEARING OFFICER: Thank you.
9	MS. SCHMID: Pardon me, was that the EBA?
10	MS. HOGLE: In, in the EBA docket.
11	MS. SCHMID: Thank you.
12	(Mr. Griffith was duly sworn.)
13	HEARING OFFICER: Thank you. Ms. Hogle?
14	<u>WILLIAM R. GRIFFITH</u> ,
15	called as a witness, having been duly sworn,
16	was examined and testified as follows:
17	DIRECT EXAMINATION
18	BY MS. HOGLE:
19	Q. Can you please state your name and your place
20	of employment for the record?
21	A. My name is William R. Griffith. My place of
22	employment I'm employed with PacifiCorp. And my
23	address is 825 Northeast Multnomah, Portland, Oregon.
24	Q. And in that capacity did you prepare direct
25	testimony with exhibits in support of the Company's

1	application?
2	A. Yes, I did.
3	Q. Do you have any changes to your testimony?
4	A. No, I do not.
5	Q. So if I were to ask you the questions in your
6	testimony here today, would your answers be the same?
7	A. Yes.
8	MS. HOGLE: Mr. Hearing Officer, I would like
9	to move for the admission of the direct testimony with
10	exhibits of Mr. Bill Griffith into evidence.
11	HEARING OFFICER: Any objection?
12	MS. SCHMID: None.
13	HEARING OFFICER: They are received.
14	(Mr. Griffith's testimony and exhibits were
15	received.)
16	MS. HOGLE: One moment Mr. Hearing Officer.
17	(Pause.)
18	MS. HOGLE: The Company would also like to
19	move into the record the exhibits and the comments
20	that the Company filed in this docket May 10, 2012.
21	HEARING OFFICER: Any objection?
22	MR. EVANS: Subject to our ability to respond
23	at some point in the future, we have no objection.
24	HEARING OFFICER: Thank you. They're
25	received.

1 (RMP's May 10, 2012, comments and exhibits 2 were received.) 3 Q. (By Ms. Hogle) Mr. Griffith, have you 4 prepared a summary for the Commission today? 5 Α. Yes, I have a short summary. Q. Please proceed. 6 7 Α. My filed testimony provided rate spreads and 8 rates to apply a \$29.286 million, or 1.7 percent 9 energy balancing account adjustment through 10 Schedule 94. The allocation of the EBA surcharge 11 across customer classes utilized the rate spread 12 methodology from the 2011 general rate case. 13 The stipulated percentage of revenue 14 increase, as it was called, applied changes across 15 rate schedules to base rates in that docket. 16 Division and the Office have pointed out that my 17 written testimony did not thoroughly explain the rate 18 spread methodology utilized, and I agree. 19 The proposal applied the rate spread in the 20 same way as the rate spread calculation in the 2011 21 GRC, with two exceptions that were not clearly spelled 22 out in my testimony but which were noted in the 23 comments filed by the Company on May 10, 2012. First, in order to match rates with the 24 25 actual rate-effective period over which the charges

will apply, which is the -- the June 2013 forecast test period from the 2012 general rate case was utilized. This test period was also utilized for the proposed REC -- or RBA Schedule 98 credit.

This approach of using a more-recent test period has been utilized by the Commission before. For example in the 2011 DSM surcharge, where the rates were designed to reflect the rate-effective period and the loads in that period.

Second, because of changes to customer characteristics since the 2011 general rate case, Contract Customer 4 is currently included in the loads of Contract Customer 3. Contract Customer 3 is subject to a credit or surcharge for the EBA deferral based on the terms of Contract Customer 3, therefore an adjustment was required to the stipulated percentage of revenue requirement increased percentages in order to implement that change anyway.

The proposed EBA rates in Schedule 94 were developed to apply the charges to customers' monthly power charges and energy charges. The Company's comments filed on May 2012 proposed a reduction to the EBA surcharge, as Mr. Dickman indicated. As a result, the proposed EBA surcharge was designed to collect 28.89 million, or 1.7 percent. That concludes my

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1
    summary.
 2
             MS. HOGLE: Mr. Griffith is available for
 3
    questions.
             HEARING OFFICER: Ms. Schmid?
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 5
              MS. SCHMID: No questions.
6
              MR. DODGE: No questions.
7
              MR. EVANS: I would respectfully like to
    reserve my cross until sometime later. I'm not
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9
    prepared to cross him today, but would be once we have
10
    filed some testimony. And presumably there will be
    another hearing on this, and I would like to reserve
11
12
    cross to that time.
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             HEARING OFFICER: And are you addressing the
14
    $8.9 million issue --
15
              MR. EVANS: No. I'm --
16
              MR. EVANS: -- as distinct from the
17
    $20 million issue?
18
              MR. EVANS: Yes, thank you. To the, to the
19
    extent that it is proposed that this spread apply to
20
    the 8.9 million, I reserve cross. With respect to the
21
    20, there is no cross from me.
22
             HEARING OFFICER:
                               Thank you.
23
             MS. SCHMID: One comment, if I may. If there
24
    is further testimony be ordered on the 9.3, the
25
    Division recommends that it follow the process
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1	established so there would be an opportunity to
2	respond to the testimony filed by UIEC.
3	HEARING OFFICER: Thank you. Anything else
4	for this witness?
5	Mr. Griffith, you're excused. Thank you.
6	THE WITNESS: Thank you.
7	HEARING OFFICER: Ms. Hogle?
8	MS. HOGLE: The Company rests its case with
9	respect to Docket No. 12-035-67.
10	HEARING OFFICER: Okay. And again, we're
11	confining this to the \$20 million piece of that
12	application. So that's the sense in which I
13	understand your, your comments.
14	MS. HOGLE: Correct.
15	HEARING OFFICER: Thank you Ms. Hogle.
16	MS. SCHMID: The Division would like to call
17	its witness, Mr. Matthew Croft, regarding the
18	20 million.
19	(Mr. Croft was duly sworn.)
20	HEARING OFFICER: Thank you.
21	***
22	***
23	<u>MATTHEW CROFT</u> ,
24	called as a witness, having been duly sworn,
25	was examined and testified as follows:
	12

1	DIRECT EXAMINATION
2	BY MS. SCHMID:
3	Q. Good morning.
4	A. Good morning.
5	Q. Could you please state your full name,
6	position, by whom you are employed, and business
7	address for the record?
8	A. Yes. My name is Matthew Croft. I am a
9	utility analyst for the Division of Public Utilities.
10	My business address is 160 East 300 South, Salt Lake
11	City.
12	Q. Thank you. In connection with your
13	employment by the Division have you participated in
L4	Docket No. 12-035-67?
15	A. Yes, I have.
16	Q. And did you prepare or cause to be prepared
17	the memorandum filed April 27, 2012, by the Division?
18	A. Yes.
19	Q. Insofar as that memorandum addresses the
20	issue of the \$20 million, including billing
21	determinants, do you have any changes or corrections
22	to that memo?
23	A. No, I do not.
24	MS. SCHMID: The Division would like to move
25	for the admission of the Division's memorandum dated

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April 27, 2012, insofar as it addresses issues
 1
 2
    associated with the $20 million.
 3
              HEARING OFFICER: Any objection?
4
              It will be received in evidence, thank you.
 5
         (DPU April 27, 2012, memorandum was received.)
6
              MS. SCHMID: Thank you.
 7
        Q.
              (By Ms. Schmid) Mr. Croft, do you have a
8
    summary?
9
        Α.
             Yes, I do.
10
        0.
              Please proceed.
             On March 15, 2012, Rocky Mountain Power filed
11
        Α.
12
    an application to increase rates to the energy
13
    balancing account. The Company's application
14
    requested an increase in rates of 29.3 million.
15
    we've discussed here, the 20 million -- $20 million of
16
    that amount relates to the first installment of a
17
    three-year deferred net power cost amortization. That
18
    was established in the last rate case.
19
              On May 10th the Office of Consumer Services
20
    and the Company filed comments to our comments.
21
    the Company noted that they disagree with the
22
    Division's recommendation that the recovery of the
    20 million in deferred net power costs be approved on
23
24
    an interim basis.
25
              In our initial comments the, the intent of
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calling them "interim" was just to reflect the fact that there was going to be a true up of the entire \$60 million. However, in retrospect probably those rates are final. They're not interim, they are final. The 60 million or the 20 million is not subject to audit or, or adjustment, so those rates are final.

With respect to the billing determinants, the Office believes that the billing determinants to be used for the 20 million should be those that were part of the previous general rate case. That recommendation was made in order to be consistent with the Commission's order with respect to the rate spread in the EBA tariff docket.

The Company proposes to use billing determinants that are for the, the rate-effective period of the EBA, so the Division sees this as a matter of consistency versus accuracy. Accuracy in the, in the sense that the rate-effective period met -- the rate-effective period for the EBA matches the billing determinants chosen by the Company.

The Division believes that both recommendations have merit, and is open to the Commission adopting either method. And that concludes my summary.

MS. SCHMID: Thank you. Mr. Croft is

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    available for cross-examination or questions from the
 2
    hearing officer.
 3
              HEARING OFFICER:
                                Thank you Ms. Schmid.
              Any cross-examination for Mr. Croft?
4
 5
              MS. HOGLE:
                          No questions.
6
              MR. EVANS: I have, I have one question.
 7
              HEARING OFFICER: Mr. Evans.
                        CROSS EXAMINATION
8
9
    BY MR. EVANS:
              Mr. Croft?
10
        0.
11
        Α.
              Uh-huh.
12
        Q.
              When you say that the rates are not interim,
13
    do you mean by that that they are -- that the amount
14
    is liquidated and not subject to audit or adjustment?
15
              The $60 million has been set. That amount
    will not change. That's the amount that is not
16
17
    subject to audit or, or adjustment.
18
        0.
              And I'm trying to get to the meaning of the
    term "interim" that you used.
19
20
        Α.
              Uh-huh.
21
              Is that what you mean by "not interim"?
        0.
    Versus final?
22
23
              In our comments the, the idea was that they
24
    were interim in the sense that they were just subject
25
    to the final true up. But in retrospect they, they
```

1 are final, they're not interim. 2 So you're using the word "interim" to mean a Q. 3 rate that is subject to final true up? 4 Α. I'm not sure I -- again, the idea was just --5 the important idea here, I think, is that the 6 \$60 million is subject to a true up. Now -- so in 7 that sense they should be final. Perhaps the wrong word was used as "interim" in our comments. But they 8 are final. 9 10 0. Okay. But you wouldn't insist on using the 11 word "interim" if I were to agree that they're final? 12 I want to, I want to -- we're having --13 you've been here -- you've been sitting here this 14 morning, right? 15 Α. Uh-huh. 16 You heard our discussion on interim rates and 0. 17 what that might mean. And our position is that, that 18 rates in between rate cases are interim. In that 19 sense would you agree that this is an interim rate, 20 because it's set in between rate cases? 21 MS. SCHMID: Object to the form of the 22 question. 23 MR. EVANS: Well, let, let's see if I can --24 HEARING OFFICER: It's, it's overruled. Go 25 ahead Mr. -- restate your question, if you don't mind,

just for the witness's benefit.

- Q. (By Mr. Evans) Would you agree that these rates are interim in the sense that they are set in between rate cases?
- A. I'm not sure I want to agree to a definition of "interim."
  - Q. Okay.

- A. But the idea is that the 60 million is not subject to an adjustment or change at a later date.
- Q. Right. Okay, I, I agree with you there, and we've heard you testify to that. But you've also used the word "interim," and you've said these rates are interim. Now, if you're not gonna agree to a definition then I would like you to retract the word "interim." You're using a word that you're not willing to define.
- A. Well, I think that's -- I mean, what we're saying is that they are final. They're not interim with respect to the \$60 million.
- Q. You mean they're, they're not subject to audit or adjustment?
  - A. Correct.
- Q. Okay. And is that all you mean when you say that they're interim? That they're not interim, excuse me.

1 Α. Yes, they're, they're final in that sense. 2 Q. Thank you. 3 MR. EVANS: No more questions. MS. SCHMID: I have limited redirect. 4 5 HEARING OFFICER: Ms. Schmid. 6 REDIRECT EXAMINATION 7 BY MS. SCHMID: 8 0. Mr. Croft, we've been discussing interim. 9 The fact that a \$20 million amount is set and that 10 there will be some sort of mechanical mechanism at the 11 end to address recovery over a period of time, do you 12 have any comments on that? 13 Α. On the, on the true up? 14 0. Yes. Could you explain that a little bit, as 15 to how and why that happens? In the \$20 million case? 16 Α. Basically it is -- my understanding is it 17 deals with the collection period. And so the idea is 18 the Company needs to get as close as they can to 19 collecting that \$60 million. It's a collection period 20 It's not a, We're gonna change how much, you 21 know, the 60 million to 45 or 75. It's just a, it's 22 an issue that deals with the collection period. 23 So in this case with respect to \$20 million Q. 24 at issue, is it your testimony that that is also a 25 collection period issue?

1	A. Yes.
2	MS. SCHMID: Thank you.
3	HEARING OFFICER: Any other examination for
4	this witness?
5	Thank you, Mr. Croft, you're excused.
6	Ms. Schmid, anything further?
7	MS. SCHMID: I'm sorry. Nothing further.
8	HEARING OFFICER: Thank you. Mr. Gimble?
9	MR. GIMBLE: Can I address from here, or do
10	you want me to come up?
11	HEARING OFFICER: Why don't you come up.
12	MR. GIMBLE: Okay.
13	HEARING OFFICER: Just to follow suit.
14	(Mr. Gimble was duly sworn.)
15	HEARING OFFICER: Thank you. Mr. Gimble,
16	you're not represented by counsel today, but I believe
17	you know to provide your name, and address, and work
18	location.
19	MR. GIMBLE: Right.
20	HEARING OFFICER: And then any other
21	information that you would like to provide to the
22	Commission would be most welcome. Thank you.
23	<u>DANIEL E. GIMBLE</u> ,
24	called as a witness, having been duly sworn,
25	was examined and testified as follows:
	51

MR. GIMBLE: Okay. My name is Dan Gimble. I work for the Office of Consumer Services. My address is 160 East 300 South, Salt Lake City, Utah.

The, in terms of the \$20 million that relates to the, the stipulation that was for the EBA deferral amounts, I -- part of the last rate case -- we believe our, our memo addresses that. If you have any questions on what we said there in terms of our memo, we'd be happy to respond.

Essentially, you know, the Commission or -in terms of bill -- on the issue of billing
determinants the Commission ordered that the
stipulated revenue spread from the last general rate
case be used to allocate the current EBA deferral
amount. And so we'd recommend using the billing
determinants associated with that revenue spread in
the last rate case.

That maintains a consistency between the ordered EBA rate spread, the static scalar and static allocation factors discussed in your May 1, 2012, EBA order on page 18, and also the billing determinants set in the last general rate case.

However, we made a distinction between that and future EBA filings. The Commission has directed the Company to use the composite NPC allocator to

spread EBA deferrals, and rely on a dynamic scalar in dynamic allocation factors, so it appears more appropriate to use forecasted billing determinants to set interim EBA rates in the future.

We agree with the Division that these determinants should be updated at a later time if you use forecasts. You could base it on the ordered billing determinants, for example, in a concurrent rate case, or to better reflect actual loads -- or class loads when final EBA rates are set.

I guess the other issue that would be applicable to the 20 million would be the interim rate spread that was discussed by Witness Griffith on behalf of the Company. The slight modifications made by the Company to the ordered rate spread from the last GRC appear to be necessary and reasonable, and we didn't have any recommendation in that area.

HEARING OFFICER: Thank you Mr. Gimble. And I have a memo from the Office dated May 10th that is the memo to which I think you referred during your testimony or summary. Is there any objection to receiving this into evidence?

MS. SCHMID: No objection.

HEARING OFFICER: Okay. Then it will be received.

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1
                (OCS May 10th memo was received.)
              MR. EVANS: And is there any cross-
 2
 3
    examination for Mr. Gimble?
              MS. SCHMID:
 4
                           None.
 5
              MR. EVANS: None here, thank you.
 6
              HEARING OFFICER: Okay. Thank you,
 7
    Mr. Gimble, you may be excused.
              MR. GIMBLE: Thank you.
 8
 9
              HEARING OFFICER: Is there any other party
10
    desiring to present evidence on the deferred net power
11
    cost issue in Docket 12-035-67?
12
              MR. EVANS: With respect to the $20 million?
13
              HEARING OFFICER: That's what I meant by
14
     "deferred net power costs," but yes. With, with
15
     respect to the $20 million.
16
              MR. EVANS:
                          No.
17
              HEARING OFFICER: Okay. We'll turn now to,
18
    excuse me, Docket 12-035-68.
19
              And Ms. Hogle, I believe you have a statement
20
    about proceeding with the presentation of evidence
21
    relative to this application today. And so would you
22
     like to address that now, please?
              MS. HOGLE: I wonder if Mr. Hearing Officer
23
24
    can indulge me and allow us to go off the record for
25
    one minute.
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MR. EVANS: Off the record. 1 2 (A discussion was held off the record.) 3 HEARING OFFICER: Ms. Hogle? 4 MS. HOGLE: On behalf of Rocky Mountain Power 5 we would like to thank you for the opportunity to 6 present to you the Company's application and 7 supporting testimony for approval of a credit to the 8 Company's customers of approximately \$4 million 9 beginning June 1, 2012. 10 Tariff Schedule 98, or the RBA, was 11 established pursuant to a global settlement agreement 12 among multiple parties involving five different 13 dockets, including the 2011 general rate case. 14 The RBA tracks the difference between REC 15 revenues included in rates and actual REC revenues 16 received by the Company, and credits or charges 100 percent of the difference to Utah customers on an 17 18 annual basis. 19 The global settlement agreement set REC 20 revenues at about \$50.9 million in base rates 21 beginning September 21, 2011. As I stated before, the 22 parties agreed that the RBA include a credit balance 23 of \$39.5 million, representing REC revenues received 24 by the Company from February 22, 2010, through 25 December 31, 2010, which the Company agreed to pass on to its customers beginning January 2011.

As part of a prior settlement the Company agreed to pass on to its customers \$3 million in credits beginning January 2011, representing incremental REC revenues not then reflected in Utah rates.

As a result of these settlements, REC revenues of a total of approximately \$73 million, or \$42 million on a Utah basis, generated by the Company during 2011 is being passed on to the Company's customers.

As I indicated before, for further background on this the Company requests that the Commission take official notice of Docket Nos. 10-035-124, 11-035-46, 10-035-14, and 10-035-89.

In exchange for this benefit to our customers parties agreed, pursuant to those settlements, to assert no future claims with regard to the amount of REC revenues that were to be passed on to customers from REC sales for the period January 2009 through December 2010.

The scope of this proceeding then is to determine whether the Company has provided *prima facie* evidence that the \$4 million credit representing the difference between REC revenues embedded in base -- in

rates, base rates, and those received by the Company, those actual REC revenues received by the Company, is accurate and consistent with the tariff. So in other words, sufficient evidence to prove that the \$4 million is just and reasonable.

After reviewing the application, including the supporting testimony, the Division of Public Utilities and the Office of Consumer Services recommend approval of the application on an interim basis.

With respect to UIEC, we've already discussed that on the record. However, given the turn of events in this proceeding with respect to the EBA docket the Company asks that the Commission treat the RBA docket, if it decides in the EBA docket to delay the implementation of the EBA, the Company respectfully requests that it also delay the implementation of the RBA of June 1, 2012.

The Company has three witnesses here today to provide short summaries in support of the Company's application. Ms. Stacey Kusters will address the historical REC sales in 2011 that were used in the calculation to set the tariff Schedule 98 credit.

Mr. McDougal is available to address the allocation of total company sales to Utah and the

```
calculation of the deferral component to true up
 1
 2
    calendar year 2011 REC sales. And Mr. Bill Griffith
 3
    will address the Company's proposed REC revenue spread
4
    and REC rates. Thank you.
 5
              HEARING OFFICER: Thank you Ms. Hogle.
6
     Please call your first witness.
 7
              MS. HOGLE: The Company would like to call
8
    Ms. Stacey Kusters.
9
              (Ms. Kusters was duly sworn.)
                                Thank you. Please be
10
              HEARING OFFICER:
11
    seated, Ms. Kusters.
12
              THE WITNESS:
                            Thank you.
13
                       STACEY J. KUSTERS,
14
          called as a witness, having been duly sworn,
15
             was examined and testified as follows:
16
                       DIRECT EXAMINATION
17
    BY MS. HOGLE:
18
        Q.
              Good morning Ms. Kusters.
19
        Α.
              Good morning.
20
              Can you please state your name and place of
        Q.
21
    employment for the record?
              I'm Stacey Kusters. I work at PacifiCorp.
22
        Α.
    My business address is 825 Northeast Multnomah,
23
    Portland, Oregon.
24
25
              In that capacity did you prepare direct
        Q.
                                                          58
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1 testimony, with exhibits, in support of the Company's 2 application? 3 Α. Yes, I did. 0. 4 Do you have any changes to your testimony? 5 Α. No, I don't. 6 So if I were to ask you here today the same Q. 7 questions in your testimony would your answers be the 8 same? 9 Α. Yes, they will. 10 MS. HOGLE: Mr. Hearing Officer, I would like 11 to move for the admission of -- into evidence of the 12 direct testimony of Stacey Kusters, with exhibits. 13 HEARING OFFICER: Any objection? 14 It's received. 15 (Ms. Kusters' testimony and exhibits were 16 received.) 17 MS. HOGLE: Thank you. 18 Q. (By Ms. Hogle) Ms. Kusters, do you have a 19 short summary for the Commission today? 20 Α. Yes, I do. 21 0. Please proceed. 22 My filed testimony this proceeding presents Α. 23 the actual total Company 2011 renewable energy, RECs, 24 used in the calculation to set Schedule 98, renewable 25 balancing RBA credit, that the Company is seeking to

1	become effective June 1st of 2012.
2	Actual REC revenues for calendar year 2011
3	were 72.8 million on a total company basis, and 41.7
4	on a Utah-allocated basis. My confidential exhibits
5	provide detailed accounting on the REC revenues
6	received for calendar year 2011, a summary of actual
7	REC revenues by month and by resource on a total
8	company basis, and actual REC sales by entity, tag,
9	price, resources, and vintage for January through
10	October 2011.
11	The resource assignments for November and
12	December are estimated and will be updated in
13	subsequent true ups. That concludes my summary.
14	MS. HOGLE: Ms. Kusters is available for
15	questions.
16	HEARING OFFICER: Thank you. Ms. Schmid?
17	MS. SCHMID: No questions.
18	HEARING OFFICER: Mr. Gimble?
19	MR. DODGE: No questions.
20	HEARING OFFICER: Mr. Dodge. Ms. Baldwin?
21	MS. BALDWIN: No questions.
22	HEARING OFFICER: Thank you, Ms. Kusters,
23	you're excused.
24	MS. HOGLE: The Company calls Mr. Steve
25	McDougal.

1	(Mr. McDougal was duly sworn.)
2	HEARING OFFICER: Please be seated.
3	STEVEN R. McDOUGAL,
4	called as a witness, having been duly sworn,
5	was examined and testified as follows:
6	DIRECT EXAMINATION
7	BY MS. HOGLE:
8	Q. Good morning Mr. McDougal.
9	A. Good morning.
10	Q. Can you please state your name and place of
11	employment for the record?
12	A. Yes. My name is Steven McDougal. I am
13	employed at Rocky Mountain Power at 201 South Main,
14	Salt Lake City, Utah.
15	Q. In that capacity did you prepare direct
16	testimony, with exhibits, in support of the Company's
17	application?
18	A. Yes, I did.
19	Q. And do you have any changes to that
20	testimony?
21	A. No, I do not.
22	Q. So if I were to ask you here today the same
23	questions that are in your testimony, would your
24	answers be the same?
25	A. They would.
	61

MS. HOGLE: I would like to move the 1 2 admission into evidence of Mr. McDougal's direct 3 testimony, with exhibits. HEARING OFFICER: Any objection? 4 5 It's received. (Mr. McDougal's testimony and exhibits were 6 7 received.) 8 Q. (By Ms. Hogle) Do you have a summary for the Commission today? 9 10 Α. Yes, I do. 11 0. Please proceed. 12 My testimony addresses the calculation of the Α. 13 REC balancing account, or RBA, and the allocation of 14 the RECs to Utah. The REC balancing account is 15 calculated in the exact same manner as the EBA, which 16 is we looked at actuals for a certain period and we 17 looked at the amount that was embedded in rates for 18 the same period. The only difference is the EBA was 19 from October 1st, the RECs were for the full calendar 20 year. 21 What we did in the calculation is on the 22 table on page 3 of my testimony we started out with 23 the stipulated amount from the prior case, which was 24 \$39.5 million, for a balance as of December 31, 2010. 25 We added to that the RECs that Stacey Kusters

1 testified to that we sold in calendar year 2011 of 2 approximately \$41.7 million on a Utah-allocated basis. 3 We then looked at the amount that was in base rates of roughly \$21.8 million, and the surcredit that 4 5 we had given back to customers during the year of 6 about 37 and-a-half million, and the estimated RECs 7 that will be given back prior to May 31st of about 20.4 million. 8 9 Once we netted those together with the 10 carrying charges, the deferral balance is \$4 million. 11 That concludes my summary. 12 MS. HOGLE: Mr. McDougal is available for 13 questions. 14 HEARING OFFICER: Thank you. 15 Any cross, Ms. Schmid? 16 MS. SCHMID: None from the Division. 17 MS. BALDWIN: Yes. 18 HEARING OFFICER: Ms. Baldwin? 19 MS. BALDWIN: Yes. 20 CROSS-EXAMINATION 21 BY MS. BALDWIN: 22 Mr. McDougal, referring to the table on Q. 23 page 3 that you talked about? It's true you have 24 surcredits for 2011 and estimated surcredits for 25 January through May of 2012, correct?

A. That is correct.

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18

- Q. You have a carrying charge for 2011, and estimated carrying charge for January through May of 2012. correct?
  - A. Correct.
- Q. You have what was in rate base in 2011, but you don't have anything for what is going to be in rate base for January through May of 2012. Is there a reason for that? Is there not going to be anything in rate base?
  - A. In rate base, no.
- Q. So in rate base from January of 2012 -- January 1 through May 31st there's no REC revenues in rate base?
- A. Well, let's, let's differentiate here. Are you talking about rate base or in rates?
- 17 Q. In rates.
  - A. Okay. I, I thought you were referring to if we were capitalizing these between --
- Q. In base rates. No, I'm sorry, no.
- 21 A. Okay.
- 22 Q. In base rates.
- A. When you asked the second question I thought, you know, you were asking that. No, there is not.
- 25 That will be part of the true up for calendar year

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1
     2012.
              Okay. Okay. I just wanted to understand
 2
        Q.
 3
     that.
            Thank you.
              MS. BALDWIN: No more questions.
 4
 5
              HEARING OFFICER: Any redirect?
 6
              MS. HOGLE: No.
 7
              HEARING OFFICER: Mr. McDougal, you're
    excused. Thank you for your testimony.
 8
 9
              Please call your next witness.
              MS. HOGLE: The Company calls Mr. Bill
10
11
    Griffith.
12
              MR. GRIFFITH: Do I need to be sworn again,
13
    or?
14
              HEARING OFFICER: You -- well, this is a
15
     separate proceeding, let's -- we'll swear you again.
16
              MR. GRIFFITH:
                             Okay.
17
              (Mr. Griffith was duly sworn.)
18
              HEARING OFFICER: Thank you.
19
                      WILLIAM R. GRIFFITH,
20
          called as a witness, having been duly sworn,
21
            was examined and testified as follows:
22
                       DIRECT EXAMINATION
    BY MS. HOGLE:
23
24
        Q.
              Can you please state your name and place of
25
     employment for the record?
                                                          65
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1 Α. My name is William R. Griffith. I'm employed by PacifiCorp. My address is 825 Northeast Multnomah, 2 3 Portland, Oregon. In that capacity did you prepare direct 4 0. 5 testimony with exhibits in support of the Company's 6 application? 7 Α. Yes. 8 0. Do you have any changes to your testimony? 9 No, I do not. Α. So if I were to ask you here today the same 10 0. 11 questions that are in your testimony, would your 12 answers be the same? 13 Α. Yes, they would. 14 MS. HOGLE: I would like to move for the 15 admission into evidence of the direct testimony of 16 Mr. Bill Griffith, with exhibits. 17 HEARING OFFICER: Any objection? 18 It's received in evidence. 19 (Mr. Griffith's testimony and exhibits were 20 received.) 21 MS. HOGLE: Mr. Griffith is available -- no, 22 excuse me. 23 (By Ms. Hogle) Mr. Griffith, do you have a Q. short summary for the Commission? 24 25 Α. Yes.

Q. Please proceed.

A. Thank you. In my testimony I provide the rate spread and rates through Tariff Schedule 98 to return to customers \$4.0 million, or 0.2 percent of the REC revenue deferral proposed by the Company.

In order to match rates with the rate-effective period over which the charges will apply, a June 2013 forecast test period was utilized. This is the forecast test period from the 2012 general rate case.

The allocation of the credit across rate schedules is applied using the F10 allocation factor from the company's class cost-of-service study consistent with paragraph 10 of the stipulation on cost of service, rate spread, and rate design in the 2011 general rate case.

Rates were developed to apply the Schedule 98 deferral to customers' monthly power charges and energy charges, consistent with present Schedule 98. The current Schedule 98 credit will expire May 31, 2012.

The proposed REC credit presented in my testimony will result in an overall credit to customers of 0.2 percent. That concludes my summary.

MS. HOGLE: Mr. Griffith is now ready for

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1
    questions.
 2
              HEARING OFFICER: Any cross-examination for
 3
    Mr. Griffith? Ms. Schmid?
              MS. SCHMID: None.
4
 5
              MR. GIMBLE: None.
              HEARING OFFICER:
6
                                Thank you.
 7
              MR. DODGE: No questions.
              HEARING OFFICER: No cross-examination,
8
    Mr. Griffith.
9
              MR. GRIFFITH: Thank you.
10
11
              HEARING OFFICER: You're excused. Thank you.
12
              MS. SCHMID: The Division would like to call
13
    Ms. Brenda Salter as its witness in this matter.
14
              HEARING OFFICER: Thank you.
15
              MS. SCHMID: Although may we have a moment?
16
              HEARING OFFICER: We'll be off the record.
17
            (A discussion was held off the record.)
18
              (Ms. Salter was duly sworn.)
19
              HEARING OFFICER: Thank you Ms. Salter.
20
                         BRENDA SALTER,
21
          called as a witness, having been duly sworn,
22
            was examined and testified as follows:
23
                       DIRECT EXAMINATION
    BY MS. SCHMID:
24
25
        Q.
              Good morning.
                                                          68
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1 Α. Good morning. Could you please state your full name, 2 Q. 3 position, by whom you are employed, and your business address for the record? 4 5 Α. My name is Brenda Salter. I am a utility 6 analyst for the Division of Public Utilities. 7 business address is 160 East 300 South, Salt Lake 8 City, Utah. 9 Q. Thank you. In connection with your 10 employment by the Division have you participated in 11 Docket No. 12-035-68, the RBA docket, as it's commonly 12 called, or the REC docket, on behalf of the Division? 13 Α. I have, yes. 14 0. Did you prepare or cause to be prepared the 15 memorandum that was filed April 27, 2012, on behalf of 16 the Division? 17 I did, yes. Α. 18 0. Do you have any changes or corrections to 19 that memorandum? 20 Α. No, I do not. 21 0. Do you adopt that memorandum as your 22 testimony? 23 Α. I do. MS. SCHMID: With that, the Division requests 24

the admission of the Division memorandum dated

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1
    April 27, 2012, in the RBA docket.
 2
              HEARING OFFICER: Any objection?
 3
              It'll be received in evidence.
         (DPU April 27, 2012, memorandum was received.)
 4
 5
        0.
              (By Ms. Schmid) Ms. Salter, do you have a
 6
    brief summary?
 7
        Α.
              I have a very brief summary. I won't go into
 8
    what the Company has already presented. I just want
 9
     to state that the Division recommends that the
10
    Commission approve the change to the Schedule 98 as
11
    filed. And approve the rate decrease on an interim
12
    basis until a final audit of the REC revenues
13
    contained in this filing can be completed by the
14
    Division.
15
              MS. SCHMID: Thank you. Ms. Salter is now
16
    available for cross-examination or questions from the
17
    Hearing Officer.
18
              HEARING OFFICER: Thank you.
                                            Any
19
    cross-examination?
              MR. GIMBLE: None.
20
21
              HEARING OFFICER: Thank you Ms. Salter,
22
    you're excused.
              MS. SALTER: Thank you.
23
24
              HEARING OFFICER: Does that conclude the
25
    Division's showing?
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1	MS. SCHMID: Yes, it did.
2	MS. MURRAY: Sorry, I'm calling myself here.
3	HEARING OFFICER: Would you please stand and
4	raise your right hand?
5	MS. MURRAY: Oh, I'm sorry.
6	HEARING OFFICER: Thank you Ms. Murray.
7	(Ms. Murray was duly sworn.)
8	HEARING OFFICER: Thank you, please be
9	seated. And you're here without counsel?
10	MS. MURRAY: I am.
11	HEARING OFFICER: Would you state your name,
12	and position, and address for the record, and then
13	provide any summary that you have.
14	<u>CHERYL MURRAY</u> ,
15	called as a witness, having been duly sworn,
16	was examined and testified as follows:
17	MS. MURRAY: Okay. My name is Cheryl Murray.
18	I'm a utility analyst with the Office of Consumer
19	Services. My business address is 160 East 300 South,
20	Salt Lake City, Utah.
21	And because we are without counsel we do
22	request the admission of our comments dated May 10th
23	in this docket.
24	HEARING OFFICER: Any objection?
25	They're received.

1	(OCS May 10th comments were received.)
2	MS. MURRAY: Okay. And our comments were
3	very short and said what we needed to say, so I'm
4	going to forego a summary. And
5	HEARING OFFICER: Any cross?
6	MS. MURRAY: questions.
7	HEARING OFFICER: Any cross-examination for
8	Ms. Murray?
9	Thank you, you're excused.
10	MS. MURRAY: Thank you.
11	HEARING OFFICER: Any other party have
12	witnesses to present or evidence to introduce?
13	MS. BALDWIN: I would just like to say at
14	some point I would like to respond to Ms. Hogle's
15	opening statement.
16	HEARING OFFICER: Now is the time.
17	MS. BALDWIN: Okay. When she suggested that
18	the EBA and the RBA are connected, the EBA is totally
19	unrelated to the RBA. The Commission's order in the
20	EBA docket pointed out that there was no connection.
21	Characterized the RBA direct credits was credits
22	similar to SO2 credits.
23	What we're dealing with here are revenues
24	that have been received and we're expiring that
25	credit. We're estimating revenues to be received

1 going forward and estimating that credit. So I don't 2 see that there's any reason to delay one because the 3 other one has a due process issue. 4 The EBA is set pursuant to a statute that 5 actually requires actuals. That is not the case with 6 the RBA. And the EBA is an actual rate adjustment, 7 and that is not the case with the RBA. Thank you. 8 MS. HOGLE: The Company would like to 9 respond. 10 HEARING OFFICER: Ms. Hogle. 11 MS. HOGLE: The Company would like to 12 emphasize the fact that both the EBA and the RBA use 13 forecast amounts and, therefore, should be treated 14 equally. Treating them differently would indicate 15 that the Commission applies different scrutiny with 16 respect to the same standard of interim rates, 17 therefore if the EBA is delayed then it follows that 18 the RBA should also be delayed. Thank you. 19 HEARING OFFICER: Thank you Ms. Hogle. 20 MS. BALDWIN: Excuse me, if I could say one 21 more thing? 22 HEARING OFFICER: Ms. Baldwin. 23 MS. BALDWIN: That begs to say that we all 24 agree on what an interim rate is, and I don't think 25 that there is that agreement here. Whether we're in

1 the EBA docket or the RBA docket. Like I said, they're two different animals. I think that the RBA 2 3 is a temporary rate. But -- and that's all I have, 4 thank you. 5 HEARING OFFICER: Thank you. We'll be off 6 the record. 7 (A discussion was held off the record.) 8 HEARING OFFICER: We are going to address a 9 proposal from the Company that relates to the 10 8 million -- or \$8.9 million energy balancing account 11 balance that covers the period October 1, 2011, to 12 December 31, 2011. Ms. Hogle. 13 MS. HOGLE: Thank you Mr. Hearing Officer. 14 The Company agrees with counsel for the Division of 15 Public Utilities that prior to taking any evidence it 16 appears to the Company that the Commission is uncomfortable with making a decision at this time with 17 18 respect to what interim rate -- or what standard to 19 apply to interim rates. 20 Given that, the Company proposes that the 21 parties file a legal brief within 15 days. 22 Company's position is that its testimony supports the 23 prima facie standard already. And, therefore, after 24 receiving legal briefs on the issue if the Commission

deems it appropriate and necessary to take testimony,

1	then at that time the Commission can go forward and
2	schedule a scheduling conference.
3	And that is the Company's proposal.
4	HEARING OFFICER: Thank you Ms. Hogle.
5	Ms. Schmid, I believe you had an item you
6	wanted to raise.
7	MS. SCHMID: Thank you. With regard to the
8	legal issues concerning prima facie, the interplay
9	between the EBA statute and 54-7-12, among others, the
10	Division also respectfully requests a briefing
11	schedule.
12	The Division proposes simultaneous opening
13	briefs and also responsive briefs.
14	HEARING OFFICER: Is the 15-day proposal for
15	opening briefs feasible for the Division?
16	MS. SCHMID: It is, thank you.
17	HEARING OFFICER: All right. And what would
18	you propose as a, an interval between opening and
19	closing briefs?
20	MS. SCHMID: Five days? Also
21	HEARING OFFICER: Calendar or business?
22	MS. SCHMID: Calendar. Also I then suggest
23	that or I respectfully request that the Commission
24	make a ruling on the scope of the issues before it and
25	what is the standard that will be applied before we
	75

1 proceed into the testimony phase so we can make sure 2 that what is addressed is as the Commission desired. 3 HEARING OFFICER: Are you anticipating that would be done in the decision on the briefs, or now, 4 5 today? 6 MS. SCHMID: I would suggest that that 7 decision be made after the briefs have been filed, but 8 as -- respectfully, as soon thereafter as possible, 9 and then we meet to discuss a schedule for further 10 proceedings. 11 HEARING OFFICER: Thank you. Any other 12 comment on this subject? 13 MR. EVANS: Just to, just to make sure I 14 I'm almost sorry that I even breathed the understand. 15 words "prima facie case" because that statute, of 16 course, applies to rate cases. 17 The EBA has its own separate statute. And I 18 think we are at a point where briefing on the burden 19 of proof, what needs to be shown, how the EBA statute 20 works, so that we can put in place a procedure to go 21 I agree with Ms. Schmid that there should be forward. 22 a decision on those things before the schedule is put 23 in place. And -- but I, I do think that five days is 24 25 too little to respond, especially I'm out of town for

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1
     the week that that would fall in. But we can take 15
 2
     to do it, if we can take another 15 to respond. And
 3
     then after the Commission orders, set a scheduling
 4
    conference. I think that would make good sense.
 5
              MS. HOGLE: If necessary, uh-huh.
              HEARING OFFICER:
 6
                                Thank you. Any other
 7
    proposals?
             We'll be off the record.
 8
 9
         (A recess was taken from 11:37 to 11:56 a.m.)
10
              HEARING OFFICER: Let the record reflect that
11
    Mr. McDougal has resumed the witness stand.
12
              I have a question for you, Mr. McDougal.
                                                         In
13
     the context of the RBA could you describe for the
14
     record the allocation factor that was used to allocate
15
     actual RBA total company costs to Utah?
16
              MR. McDOUGAL: The actual total company RECs
17
    were split basically into three groups, depending upon
18
    whether we are banking the RECs for certain states.
19
    Then as we look at the RECs we allocate those -- the
20
    RECs to those states that are not banking the RECs.
21
             Where I walked straight up here I don't have
22
    my exhibit, but it's I believe SRM-3. Let me go grab
    that real quickly.
23
              It's actually SRM-2, page 2.1. And if you
24
25
     look at that you can see how all the RECs are
```

1	originally allocated using an SG factor, but then are
2	reallocated based upon what states are banking the
3	RECs. And that's why the allocation is above the
4	normal 43 percent.
5	HEARING OFFICER: That would be an adjustment
6	that wouldn't have been applied in the EBA context,
7	correct?
8	MR. McDOUGAL: Correct. Because the EBA we
9	have actuals and we just used the straight factors.
10	It is using the exact same factors, it's just looking
11	and saying if we don't sell a certain state's then all
12	the costs are allocated to the remaining states.
13	It's one of the items that was agreed to as
14	part of the revised protocol and it was carried
15	forward into the 2010 protocol.
16	HEARING OFFICER: Any questions based on
17	mine?
18	MR. EVANS: What do we mean by "banking"
19	RECs? I'm not getting that. "Banking" do you mean
20	retiring them?
21	MR. McDOUGAL: Basically they're renewable
22	portfolio standards. And so certain states require
23	us, as part of their RPS standards, that we do not
24	sell their RECs. Their RECs are used to meet the
25	renewable portfolio standard.

1	MR. EVANS: Thank you.
2	HEARING OFFICER: So a banked REC would be a
3	REC used to meet the renewable portfolio standards?
4	MR. McDOUGAL: Right. And that's my
5	definition. I am not sure if that's the definition
6	that origination or anybody uses, But yes.
7	HEARING OFFICER: Any other questions on this
8	topic?
9	Thank you. Thank you Mr. McDougal.
10	Oh, Ms. Hogle, did you have something?
11	MS. HOGLE: I'm sorry, not on this topic.
12	But I'm wondering before we break if I can make one
13	suggestion?
14	HEARING OFFICER: Uh-huh. Mr. McDougal,
15	you're excused.
16	Ms. Hogle?
17	MS. HOGLE: It occurred to the Company that
18	assuming that the Commission, after reading our
19	briefs, determines that there is no need for
20	additional witnesses to support the brief, would it be
21	a good idea for the Company to put on its witnesses
22	today with respect to the \$8.9 million?
23	Of course subject to Mr excuse me,
24	Counsel Evans' objections. And we would note that, of
25	course. And he would, he would object, of course.

1 But if the Commission would consider doing 2 that so that the Company doesn't have to bring back 3 its witnesses with the testimony. I just think it 4 would be more expeditious to handle it that way and 5 just to have our witnesses testify today, despite the 6 objection of Mr. Evans. 7 MR. EVANS: Well, may I respond? Mr. Evans. HEARING OFFICER: 8 9 MR. EVANS: With all respect, we appreciate 10 the Company's problem of bringing the witnesses back. 11 But I don't think that we can get effective 12 cross-examination done on these witnesses today. 13 Effective cross is largely dependent on what other 14 parties file for testimony and the way the whole 15 picture emerges. 16 To say that we're gonna do our cross today, before there's been anything else on the record except 17 18 the Company's testimony, would, would mean that we're 19 doing ineffective cross-examination. So I'd ask that 20 we, that we not do that. We wait until the 21 appropriate time to cross these witnesses. 22 HEARING OFFICER: Any other parties wish to 23 express a position on this issue? 24 MS. SCHMID: We would reserve the right to 25 cross if that is what is ordered.

1	HEARING OFFICER: Thank you Ms. Schmid.
2	That's a matter that I'll review with the
3	Commissioners. So in light of that request and so
4	that it can remain viable, I think you'll need to have
5	your witnesses here in the afternoon.
6	MS. HOGLE: Yes, sir.
7	HEARING OFFICER: Without any commitments
8	from the Commission as to whether they will testify or
9	not.
10	MS. SCHMID: That would mean Mr. Croft would
11	also be here. As his as the Division's memorandum
12	addressed the \$9 million.
13	HEARING OFFICER: Thank you, yes.
14	MS. SCHMID: If she puts hers on.
15	HEARING OFFICER: Thank you.
16	All right, we'll be off the record and in
17	recess until 1:30. Thank you all.
18	MR. EVANS: And at 1:30 we're going to take
19	up?
20	HEARING OFFICER: There's been a question as
21	to what will be addressed at 1:30. And certainly the
22	topic of briefing and a briefing schedule. And
23	whether or not the Commissioners also desire the
24	parties to establish an evidentiary schedule, a
25	hearing schedule, for the \$8.9 million EBA issue.

1 I may also address the other two issues as to 2 which we took evidence this morning. 3 MR. EVANS: Okay. HEARING OFFICER: But I'm uncertain about 4 5 whether that will occur or not. 6 MR. EVANS: Okay, thank you. 7 HEARING OFFICER: All right. Thank you very 8 much. 9 (A luncheon recess was taken 10 from 12:03 to 1:35 p.m.) 11 HEARING OFFICER: Before I begin is there 12 anything that any of the parties would like to note, 13 either on or off the record. 14 All right. The Commissioners have authorized 15 me to issue some orders, which will be subsequently 16 memorialized in written orders of the Commission. 17 first is with regard to Docket No. 12-035-67. 18 request for a \$20 million surcharge that relates to 19 deferred net power costs and flows out of the general 20 rate case settlement agreement is approved, to be 21 effective June 1. The billing determinants to be used 22 are those that are found in that general rate case 23 decision. 24 And with regard to the remaining issues in 25 that application that we've characterized as the, the

\$8.9 million issue that represents a balance in the energy balancing account accrued for the period October 1 through December 31, 2011, that issue is, is to be the subject of briefing.

And the schedule for the briefs, that I believe is consistent with at least some of the comments that were made this morning, is opening briefs on May 29th, closing briefs on June 13th. And nothing further will be scheduled relative to that set of issues until after the briefing process and the Commission's order in response to those briefs.

And the issues generally to be addressed in the briefs are: The application of an interim rates process relative to the energy balancing account amortization or recovery of whatever over or under-collection might exist in that account as it's administered year by year under the EBA order. And the standards that should apply relative to the Applicant's burden of proof to obtain the interim rate relief.

And if I wasn't clear about it, the Commission will not receive any evidence on the set of issues that is going to be briefed at this time, nor will a schedule be establish for the evidentiary proceeding.

I believe that addresses the open issues relative to 12-035-67. If there's a question about that, now would be a good time to inquire. Does anyone have any question about whether or not I've addressed all of the, the open issues?

Thank you. Then we'll turn to 12-035-68.

And the rate change approved in that application is -I'm sorry, the rate change requested in that
application is approved, effective June 1st of 2012.

With the same billing determinants used as are being used for the energy balancing account and which have their origins in the 2011 general rate case decision.

Now, the \$20 million rate impact is permanent. This rate change is interim, pending the results of the Division's audit and the publication of those results, and a future process by which parties will be -- will have the opportunity to address the Division's audit report.

I believe I've addressed the open issues relative to that docket. But again, if there are questions or clarifications that the parties seek, now is the time.

Is there anything else that should come before the Commission at this time on either of these two dockets? Let's be off the record for a moment.

1 (A discussion was held off the record.) 2 HEARING OFFICER: The Company's indicated 3 that it seeks a clarification. It would like to express an understanding of part of the oral order 4 5 that I've just articulated. And we're gonna ask Mr. Griffith, who's already under oath, to express 6 7 that understanding for the Company. MR. GRIFFITH: Yes. 8 The Company understands 9 that the billing determinants, the loads from the 2011 general rate case, will be used to design both the EBA 10 11 and the RBA rates. 12 The only clarification we're asking is that 13 since that time of the 2011 general rate case loads, 14 which showed separate customer -- Contract Customers 4 15 and 3, today those customers are combined into one. 16 So that when we design the rates we will set the rates 17 for contract -- for current Contract Customer 3, which 18 has within it Contract Customer 4, we will set the 19 rates for Contract Customer 3 by summing the kilowatt 20 hour loads for Contract Customers 4 and Contract 21 Customer 3. Thank you. 22 HEARING OFFICER: Thank you. Any comment on 23 that clarification? 24 MR. EVANS: Yeah, I have one question for 25 Mr. Griffith. In, in summing the loads for Contract

1	Customer 4 and 3 do you get the same result as if you
2	had applied the billing determinants separately to
3	Customer 3 and 4?
4	MR. GRIFFITH: You would get the same
5	combined est result, certainly. Because none of
6	the other values will change. You'll just be summing
7	them together to get an a it'll be, in a sense
8	well, it'll be one rate summing the two together.
9	I don't know if it's exactly the same. One
10	could have been a little higher and one could have
11	been a little lower.
12	MR. EVANS: Yeah, that's the question.
13	MR. GRIFFITH: But today they would roll
L4	together into the average of the two weighted by the
15	kilowatt hours for the two contract customers.
16	MR. EVANS: And you don't know
17	MR. GRIFFITH: Into one rate.
18	MR. EVANS: You don't know whether that would
19	make that would be a different result than if
20	Customer 3 were still on the system and the EBA charge
21	were billed separately to both using rate determinants
22	from the last case and then the amount of the EBA
23	surcharge for the two was summed?
24	MR. GRIFFITH: The same dollars would be
25	collected for those two contract customers combined.

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1
    And the same kilowatt hours. So I don't know what
2
    other part would be different.
 3
              MR. EVANS:
                          Okay.
4
              MR. GRIFFITH: It still combines -- nothing
5
    else changes for any of the other customers. And the,
6
     and the $20 million and the 4 million are already set.
7
    So it's just -- it's no real difference that I can
8
     see.
9
              MR. EVANS:
                          Thank you.
10
              HEARING OFFICER: Anything further?
11
              Then we're adjourned. Thank you all very
    much for your participation.
12
13
            (The hearing was concluded at 1:53 p.m.)
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1	CERTIFICATE
2 3 4	STATE OF UTAH ) ) ss. COUNTY OF SALT LAKE )
5 6 7 8 9	This is to certify that the foregoing proceedings were taken before me, KELLY L. WILBURN, a Certified Shorthand Reporter and Registered Professional Reporter in and for the State of Utah.  That the proceedings were reported by me in stenotype and thereafter caused by me to be transcribed into typewriting. And that a full, true, and correct transcription of said proceedings so taken and transcribed is set forth in the foregoing pages, numbered 1 through 87, inclusive.
11 12 13 14	I further certify that I am not of kin or otherwise associated with any of the parties to said cause of action, and that I am not interested in the event thereof.  SIGNED ON THIS 15th DAY OF May, 2012.
15 16 17	Kelly L. Wilburn, CSR, RPR Utah CSR No. 109582-7801
18 19 20	
21 22 23	
24 25	88

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